

Surfactant functionalized cobalt silica membranes – gas permeation and thin film **positron annihilation lifetime spectroscopy characterisation**

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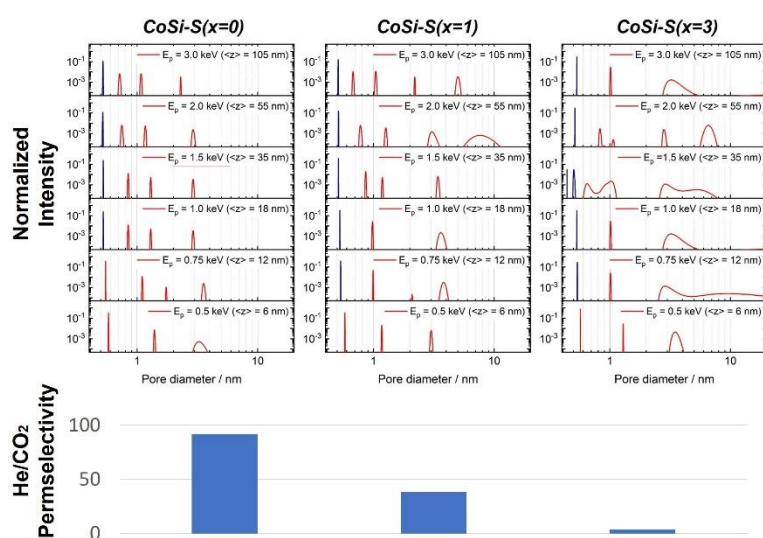
Highlights

- PALS depth profile showed narrower pores for the top silica layer.
- PALS showed broader pores for the silica layer closer to the interlayer.
- Increasing the surfactant loading reduced He/CO₂ selectivity from 91.5 to 3.8.
- PALS pore **size profile correlated well** to selectivity **based on surfactant loading**.

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Graphical Abstract



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18
19 **Abstract**

20 This work investigates the use of positron annihilation lifetime spectroscopy (PALS) for the in-situ
21 structural characterisation of silica derived thin film membranes. By using a quantified maximum
22 entropy method, PALS allowed for the measurement of porous volumetric fraction and a pore size
23 distribution depth profile. PALS measurements were carried out on a series of silica derived
24 membranes where alumina supports were coated with four layers of cationic HTBA surfactant cobalt
25 silica sols wherein the surfactant / cobalt molar ratio loading varied from 0 to 3. PALS results showed

26 that the coated layers adjacent to the porous alumina substrate were characterised by micropores and
27 broad mesopores, a clear indication that the porosity of the substrate affected the pore size at the
28 substrate and thin film interface. The last coated layer resulted in narrow micropores with a trimodal
29 pore size distribution of 0.5-0.6 nm, ~1nm and ~3nm. This was attributed to the surface smoothness
30 conferred by three previous coated layers. The increase in the surfactant loading led to an increase in
31 the pore fraction of thin films as ascertained by PALS. These results correlated quite well with the
32 gas permeation and selectivity results. Higher surfactant loadings resulted in an increase in gas
33 permeation and reduction of He/CO₂ selectivity from 91.5 to 3.8. PALS proved to be a powerful tool
34 characterisation of the structural features of microporous thin films.

35 **Keywords:** silica membranes; surfactant; pore size; thin film; gas permeation.

36 **1. Introduction**

37 Gas separation is a major chemical engineering process, important to a wide variety of industries,
38 where removal of undesirable species or concentration of products is desirable. There are many
39 processes used for gas separation such as (i) cryogenics involving very low temperatures and
40 changing phase from gas to liquid [1, 2]; (ii) adsorption processes requiring gas cooling to room
41 temperatures to take advantage of sorbents sorption capacities at low temperatures [3, 4]; (iii)
42 absorption processes where gases react with solvents thus requiring a downstream desorbing
43 processing [5, 6]; and (iv) membranes which can separate gases without phase change [7]. As many
44 industrial gases are generated at high temperatures and high pressures the use of inorganic
45 membranes, which are chemically and thermally stable, is attractive [8]; particularly palladium alloys
46 [9] and molecular sieving silica [10-12]. Of particular attention cobalt oxide silica membranes have
47 been scaled up with proven performance for 2000 hours operation and reaching very high H₂/CO₂
48 permselectivities of 500 [13].

49 Metal oxide silica membranes are synthesised from a silica sol-gel method using hydrated metal
50 nitrates which are oxidised during synthesis. Embedding cobalt oxide into silica films conferred
51 functionalities otherwise not available in pure silica membranes, such as improvements for wet gas
52 separation [14-16], although the mechanism of protection was not clear. More recently, work by Liu
53 and co-workers [17, 18] reported that the Co^{3+} coordination with Si increased hydro-stability, whilst
54 hydrolytic attack was severe on membranes containing a higher concentration of Co^{2+} coordinated
55 with Si. The literature contains a multitude of metal oxides incorporated into silica thin films from
56 the initial examples of oxides of nickel [19, 20], to aluminium [21], zirconium [22], titanium [23],
57 niobium [24], and more recently binary oxides of cobalt with iron [25], palladium [26] and lanthanum
58 [27].

59 Different oxides also confer different functionalities to the resultant membranes. For instance,
60 niobium oxide silica membranes provided relatively good N_2/CO_2 permselectivity of ~ 8 [28], higher
61 than any previous silica membranes of values below 2-3. In the case of adding lanthanum to a CoSi
62 membrane, it allowed the formation of silicates which enhanced the thermal stability of the pore sizes
63 below 3\AA under reduction and oxidation cycles [26]. In another case, Ji et al. [29] demonstrated that
64 pore connectivity of CoSi membranes increased as the membranes were reduced using a H_2 rich
65 atmosphere at high temperatures. This was attributed to the effect of the gas to solid reaction of H_2 to
66 the cobalt oxide particle (Co_3O_4) which was reduced to CoO. During reduction, cobalt oxide particles
67 breaks into small particles, known as the crackling core model [30, 31], and formed additional
68 permeation channels.

69 In the pursuit of additional functionalities, Olguin and co-workers [32] reported that the oxidation
70 state of cobalt oxide could be tailored by the halide functionality of surfactants in silica gels. Whilst
71 this work focused on xerogels, surfactants and carbon templates have been previously used for the
72 preparation of silica membranes. For instance, Verweij and co-workers [33] carbonised a ligand

73 methyl group to silica precursors which improved the hydro-stability of the silica membranes. Duke
74 et al. [34] carbonised surfactants embedded in silica xerogels and showed that the carbon moieties
75 were like barriers opposing the movement of unstrained silica groups, thus avoiding densification
76 under hydrothermal conditions. In a further work, Olguin et al. [35] showed that the length of the
77 alkyl group and the surfactant concentration influenced the porous structural formation of cobalt
78 oxide silica xerogels.

79 The published works on the effect of surfactants on CoSi has been limited to xerogel studies, whilst
80 membranes have yet to be fully reported. This is an important point as the chemical and physical
81 principles that control the silica structural formation are, in theory, the same for both bulk xerogels
82 and thin films. However, the latter undergoes fast gelation and evaporation of solvents (i.e. water),
83 and the final structure of surfactant functionalised CoSi thin films may differ from that of bulk
84 xerogel. A characterisation technique to study thin films in membranes is positron annihilation
85 spectroscopy (PALS) that has been reported for polymeric membranes [36-38], and crystalline
86 inorganic (i.e., zeolite) membrane [39]. In the case of amorphous silica membranes, publications on
87 in situ characterisation of silica thin films supported by substrates is even more limited as in principle
88 the silica sol-gel solution slightly penetrates into the pores of interlayer due to capillary forces during
89 film coating.

90 Therefore, this work investigates two important aspects of silica derived membranes. The first
91 investigation focuses on determining the pore structure of supported thin films using PALS. The
92 second investigation studies the performance of surfactant functionalised CoSi membranes for gas
93 separation. Hexyl trimethyl ammonium bromide (HTAB) was chosen as the functional surfactant in
94 view of its effect in the oxidation state of CoSi xerogels [35]. A series of membranes were prepared
95 via a sol-gel synthesis method, where the concentration of HTAB was varied. Single gas permeation
96 of He, H₂, N₂ and CO₂ was performed for each of the prepared membranes at different testing

97 temperatures in the range 200-500 °C. This work is particularly interested in understanding how the
98 surfactant functionalities on CoSi membranes in terms of porosity and pore sizes as determined by
99 PALS can affect gas transport phenomena and membrane performance.

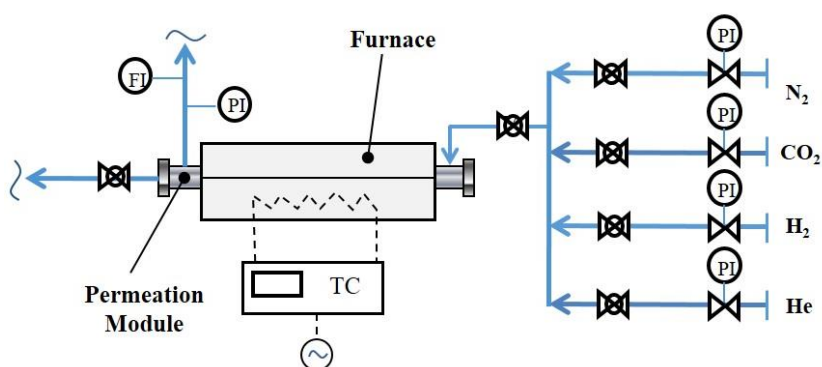
100 **2. Experimental**

101 Cobalt silica (CoSi) coating solutions were synthesised via a sol-gel method. Cobalt nitrate
102 hexahydrate ($\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$) was dissolved in 30 % vol hydrogen peroxide (H_2O_2) in order to keep
103 the pH stable around 3.0 and then diluted in an excess of ethanol. Subsequently, the solution was
104 cooled to 0 °C, followed by a slow drop-wise addition of tetraethyl orthosilicate (TEOS). The final
105 TEOS : H_2O : H_2O_2 : EtOH : $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ molar ratios was 4 : 45.5 : 9 : 256 : 1, which was
106 moderately stirred in an ice-bath at 0 °C for three hours. The preparation of surfactant cobalt silica
107 followed the same procedure, except for the addition of hexyl trimethyl ammonium bromide (HTAB)
108 after the ice-bath treatment. Surfactant concentration was varied based on the surfactant/cobalt molar
109 ratio (x) 0 to 3 and the resultant sols were named as CoSi-S(x). The HTAB concentration variation
110 aimed at varying the pore size and pore volume of the resultant CoSi membranes based on homologue
111 xerogels published elsewhere [32, 35]. The solubility of surfactant was achieved by keeping the
112 concentration below the critical micelle concentration (CMC) point, taking into account the surfactant
113 type [40], solvent nature [41, 42] and counter ion presence [43]. The HTAB concentration in our
114 surfactant-cobalt silica sol was varied from 0 ($x=0$) to 180 mM ($x=3$) below the CMC of 2000 mM.

115 Membranes were prepared by dip coating four active silica layers on outer shell of a commercial
116 alumina substrate (Energy Research Centre of the Netherlands). The dimensions of the tubes were 14
117 mm external diameter with 2 mm thick wall, a length of 200 mm. The tubes contained an asymmetric
118 structure, with α -alumina substrate followed by small α -alumina particle interlayers, and thin γ -
119 alumina interlayers with 4nm pore sizes. A conventional dip coater was used to coat silica layers
120 through a constant immersion and withdrawal speed of 10 cm min⁻¹ and dwell time of 1 min. Each

121 silica layer was subsequently calcined in an electric furnace at 630 °C under air atmosphere, a ramping
122 rate of 1 °C min⁻¹ and a dwell time of 2.5 hr. Under these calcination temperatures, the HTBA is fully
123 burnt off [32], resulting in the formation of a surfactant free CoSi membranes.

124 The performance of each membrane was assessed by single gas permeance of He, H₂, N₂ and CO₂ at
125 four different operation temperatures (200, 300, 400 and 500 °C) in a custom permeation rig (see Fig.
126 1). The permeate stream was kept at atmospheric pressure while feed pressure was set constant at 400
127 kPa. A series of leaking tests were run each time before membrane testing, to ensure that any
128 problems associated with seal failure or membrane fractures were not present. The leaking tests
129 involved checking gas leaks in valves and connections in the gas permeation rig and membrane
130 module. The membrane was sealed using graphite seals developed by Yacou and co-workers [13],
131 that allows gas permeation testing at high temperatures without sheering ceramic tubes. The
132 membranes with high gas permselectivities were considered gas leak free and defect free.



133

134 Fig. 1 - Single gas permeation rig: pressure regulator (PI), flow rotameter (FI), temperature
135 controller (TC).

136 Positron annihilation lifetime spectroscopy (PALS) experimental set up required a flat and
137 homogeneous surface for thin film analysis since the probing positron beam has a diameter of around
138 5 mm. Therefore, PALS was carried on flat alumina supports made of α -alumina substrate and a thin
139 layer of γ -alumina (supplied by Pervatech) with 80 nm pore size. Each support was dip coated with

140 4 active silica layers on a custom coater, taking into consideration similar parameters to the tubular
141 membranes. The calcination procedure for each layer was the same as for the tube membranes.
142 Samples of the latter were analysed by a field emission scanning electron microscope (JEOL Model
143 JSM-7001F) to observe their morphological features. The analysis was performed using a 5kV
144 acceleration voltage and a distance of 10 mm.

145 The PALS experiments were performed at the mono-energetic positron source (MePS) beamline,
146 which is one of the end stations of the radiation source ELBE (Electron Linac for beams with high
147 Brilliance and low Emittance) at HZDR (Germany) [44, 45], using positrons produced from high-
148 energy bremsstrahlung of the 30 MeV electron source ELBE. The PALS spectra were recorded using
149 a fast CeBr₃ scintillator detector coupled to a Hamamatsu R13089-100 PMT. After implantation into
150 a solid, positrons lose their kinetic energy due to thermalization and, after a short period of diffusion,
151 annihilate in delocalized lattice sites or localize in vacancy-like defects and pores, emitting usually
152 two anti-collinear 511 keV gamma photons after annihilation with the encountered electrons. The
153 implantation profile can be calculated via a Makhovian distribution [46] (see Appendix Fig. A1). A
154 mean positron implantation depth can be approximated by a simple material density-dependent,
155 Makhovian positron stopping profile formula [42]: $\langle z \rangle = 36/\rho \cdot E_p^{1.62}$, with the material density, ρ and
156 positron implantation energy, E_p . Positron implantation energy was varied from 0.5 to 12 keV
157 providing an approximated depth profile between 0 and 100 nm.

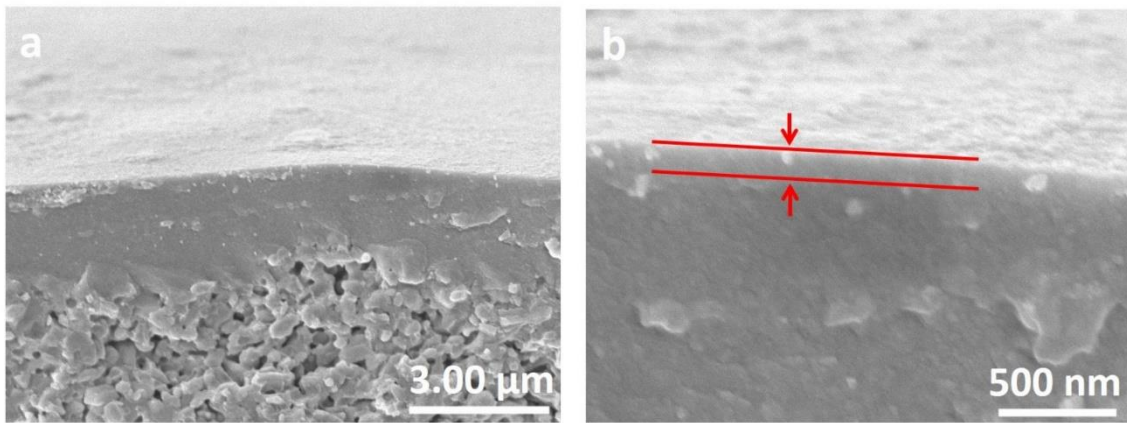
158 Positron lifetime components were obtained through a quantified maximum entropy method. The
159 porous volumetric fraction of surfactant functionalised silica layers was calculated averaging the
160 shortest lifetime component (related with annihilation within material) along with silica thickness.
161 The pore size distribution (PSD) depth profile is based on the positron energy which changes with
162 the implantation depth (see Appendix Fig. A1), and allows the pore size of the sub-layers to be
163 analysed and determined. The collected data are known as PALS lifetime distribution, given by the

164 annihilation versus lifetime value for each implantation depth. The PALS lifetime distribution
165 represents multiple cavities sizes in the surfactant functionalised silica membrane, thus requiring
166 deconvolution to determine the pore size of the cavities (see Appendix Fig. A2). Deconvolution is
167 carried out using non-standard gaussian peaks to get lifetime components ($\tau_5, \tau_4, \tau_3 \dots$) which can be
168 translated into pore sizes according to a simple shape-free model based on the extended Tao-Eldrup
169 model for pore size determination from PALS [47] (see Appendix Fig. A3). For each spectrum 3×10^6
170 counts were recorded at a rate of 3600 counts per second, for positron implantation energies from 0.5
171 keV to 12 keV (Appendix Fig. A4). Positron lifetime components were then obtained based on the
172 maximum entropy method using the MELT (maximum entropy lifetime analysis) software package
173 [48]. In contrast to a discrete lifetime analysis using multi-exponential decay functions, a MELT
174 analysis allows to extract pore size distributions rather than only discrete pore sizes as detailed in the
175 Appendix.

176 **3. Results**

177 **3.1 Characterisation**

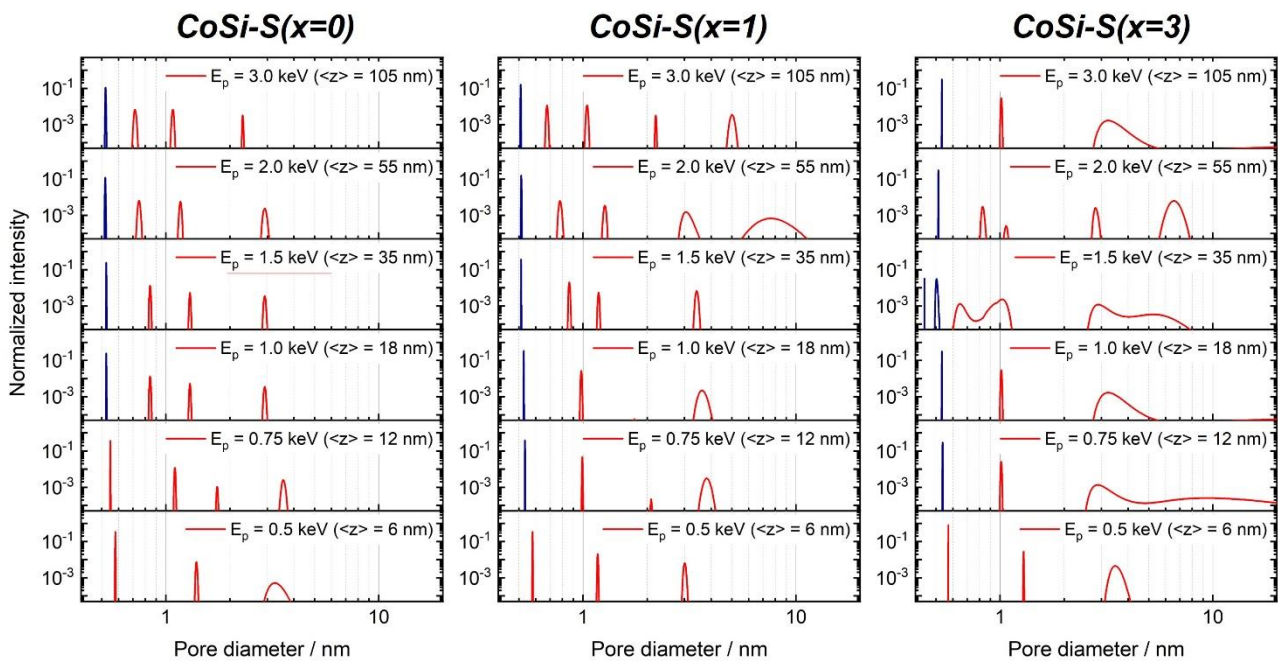
178 Representative SEM images of the as-prepared membrane are depicted in Fig. 2. The cross-section is
179 composed of a surfactant functionalised cobalt silica top layer coated on a $\gamma\text{-Al}_2\text{O}_3$ interlayer. The
180 latter was coated on a macroporous $\alpha\text{-Al}_2\text{O}_3$ substrate characterised by a coarse surface containing
181 large particles. The interlayer is required to reduce the roughness of the substrate surface to enable
182 coating of the top layer. The top layer has a homogeneous surface coverage in all directions with a
183 thickness of ~ 120 nm. A total of four surfactant cobalt layers were coated on the substrate, suggesting
184 that each coated layer resulted in a thickness of ~ 30 nm upon calcination.



185

186 Fig. 2. Representative SEM image of surfactant functionalised cobalt silica membrane.

187



188

189 Fig. 3. Positron pore size distribution for different positron implantation energies E_p for a surfactant
 190 functionalised CoSi membranes. The mean implantation depths $\langle z \rangle$ are calculated from the
 191 Makhovian stopping profiles using $\langle z \rangle = 36/\rho \cdot E_p^{1.62}$. Usually, positrons are implanted in a much
 192 wider depth range (see appendix Fig. A1), therefore the given values are just a rough estimation. The
 193 blue narrow peak around 0.5 nm is not a signal from annihilation in pores but from free positrons
 194 annihilating in the matrix material. Although this lifetime component is not related to a pore, the peak
 195 is shown here in the figure for comparing free positron annihilation and annihilation in pores.

196

197 Fig. 3 displays PSD profiles along the depth of the surfactant functionalised cobalt silica membranes.
198 The PSD profile shows a relative abundance of pore sizes at each depth, grouped as micropores ($d_p <$
199 2 nm) and mesopores ($2 \leq d_p \leq 50$ nm). The presence of a tri-modal PSD at depths up to 18 nm, are
200 in line with the PALS work pioneered by Duke et al. [49] for silica powders. Importantly though, the
201 top portion of fourth and final coated layer (from 0 to ~ 30 nm) for membranes $x=0$ and $x=1$ showed
202 high intensity peaks at $d_p < 2$ nm as compared to larger implantation depths, reflecting a shift to
203 micropore PSD. This is attributed to the surface smoothness of the previous coated and calcined
204 surfactant functionalised silica layers. It strongly indicates that four layers were sufficient to produce
205 a defect free thin film. The membrane $x=3$ exhibited a higher contribution of peaks at $d_p > 2$ nm, a
206 clear indication of the formation of mesopores. This is attributed to higher concentration of HTAB
207 that are burnt off upon calcination, contributing to pore size enlargement.

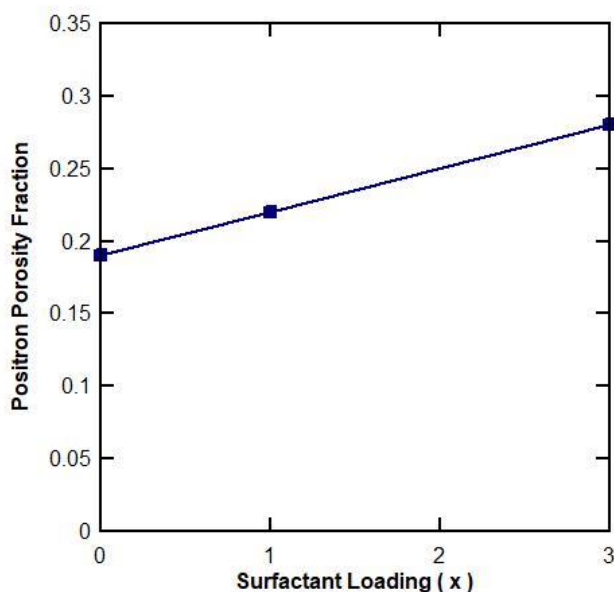
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209 Beyond a film depth of >35 nm (for the 2nd and 3rd coated layers to be precise) the intensity of the
210 peaks associated with mesopores broadens for membranes $x=0$ and $x=1$, confirming the incorporation
211 of more mesopores into the CoSi thin film layers. The increase in the contribution of mesopores may
212 be associated with morphological effects which stem from the underlying larger pores in the substrate.
213 However, the drying and calcination process is clearly complex as the first coated layer at a PALS
214 depth of 105 nm actually showed a lower mesopore contribution than the 2nd and 3rd layers (at 55nm
215 and 35nm respectively). Similarly, the membrane $x=3$ also displayed a reduction of the contribution
216 of mesopores. In this case, the PSD may be associated with the intrusion of the surfactant cobalt silica
217 sol into the mesopores of the interlayer.

218

219 The determination of the PSD depth profile also allowed for the calculation of the positron porosity
220 fraction as displayed in Fig. 4. The porosity fraction is the fraction of all positrons annihilating in

221 micro- and mesopores. Remaining positrons are annihilating as free positrons (see blue peak in Fig.
222 3). Fig. 4 displays the pore values as PALS porosity fraction and pore volumes determined from
223 nitrogen sorption isotherms. It is observed that by increasing the surfactant ratio (x) used to prepare
224 the functionalised surfactant cobalt silica thin films, the positron porosity fraction likewise increased.
225 The surfactant molecules act as templates or free-volume spaces embedded into the cobalt silica
226 matrix. Upon calcination in air, the surfactant is fully burnt-out at 530 and 550 C [32] for membranes
227 $x=1$ and $x=3$, respectively. By raising the calcination temperature further, the porous cobalt silica thin
228 film densifies, and the positron porosity fraction was linear with respect to the surfactant ratio.



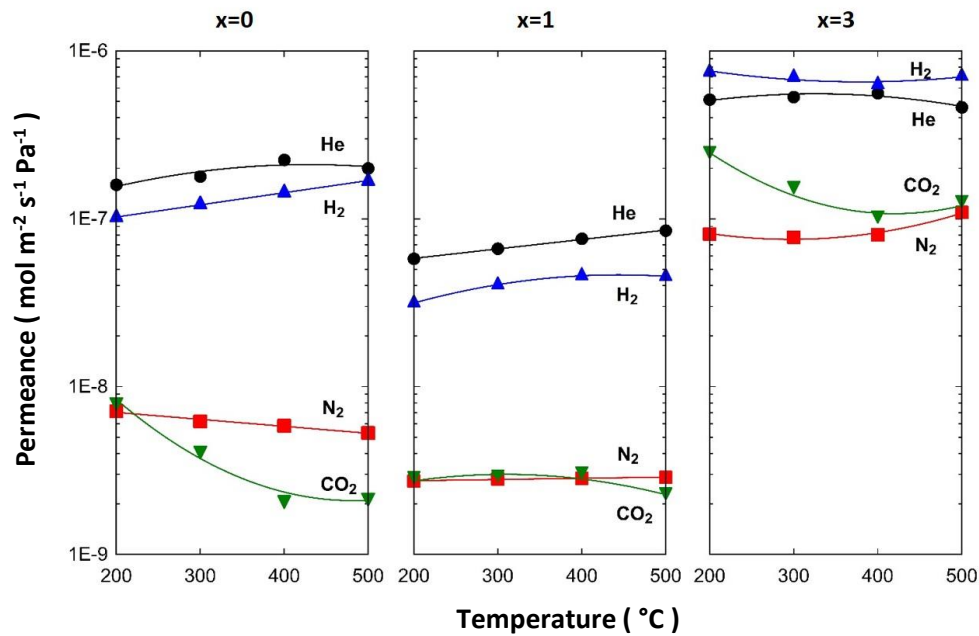
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230 Fig. 4. Positron porosity fraction as a function of the surfactant ratio (x) used to prepare the
231 functionalised surfactant cobalt silica membranes.

232 3.2 Gas permeation

233 Fig. 5 shows the single gas permeance of He, H₂, N₂ and CO₂ gases at various temperatures for three
234 tested membranes. The CoSi ($x=0$) membrane, with no surfactant loading, follows the traditional
235 temperature dependent gas transport as reported elsewhere for cobalt silica membranes [13, 50] and
236 silica membranes [51, 52] based on the transport of gases in microporous materials [53]. This
237 membrane is characterised by the permeance of the smaller gases, He ($d_k=2.6 \text{ \AA}$) and H₂ ($d_k=2.89 \text{ \AA}$),

238 increasing with temperature, whilst the permeance of the larger gases, CO₂ ($d_k=3.3 \text{ \AA}$) and N₂
 239 ($d_k=3.64 \text{ \AA}$), decreased with temperature. Similar behaviour was observed for the SCoSi ($x=1$),
 240 though the larger gases showed almost no decline in permeance as the testing temperature was raised.
 241 By adding more surfactant as SCoSi ($x=3$) membrane, the single gas transport behaviour changed.
 242 For instance, gas permeance in this $x=3$ membrane remains steady as a function of the temperature,
 243 within experimental error of $\pm 8\%$. Further, the single gas permeation curves for the smaller and larger
 244 gases are much closer, and not as distanced as for the lower surfactant loaded membranes ($x=0$ and
 245 1). The results in Fig. 5 strongly suggest that surfactant loading is conferring morphological changes
 246 to the final structure of the cobalt oxide silica membranes.

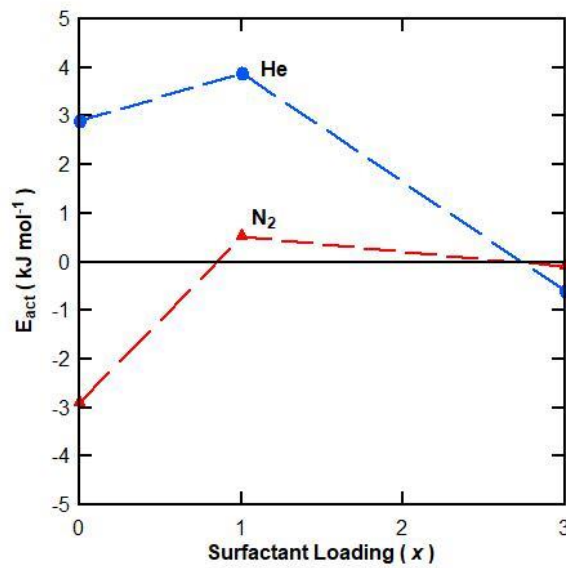


247

248 Fig. 5. Single gas permeance ($\pm 8\%$) as a function of temperature for membranes with varied
 249 surfactant loading (x).

250 The temperature dependent transport observed in Fig. 5 is also known as activated transport based on
 251 Barrer's model [54] for gas diffusion via microporous structures. Fig. 6 shows the apparent energy of
 252 activation (E_{act}) for H₂ and N₂ as a function of surfactant loading. The E_{act} was calculated from the
 253 Arrhenius plot of the permeance of for H₂ and N₂. In principle, E_{act} gives a good indication of pore

254 size changes provided that gas permeation follows activated transport. For instance, smaller
 255 micropores are characterised by higher He and lower N₂ E_{act} values. This is clearly observed for
 256 membranes $x=0$ and 1 . However, membranes $x=3$ shows that a convergence of both E_{act} values,
 257 given a clear indication of increased pore sizes. This shows a departure from activated transport to
 258 Knudsen diffusion, a characteristic of pore size change from micropore to mesopore. These results
 259 correlated well with the positron PSD in Fig. 3.



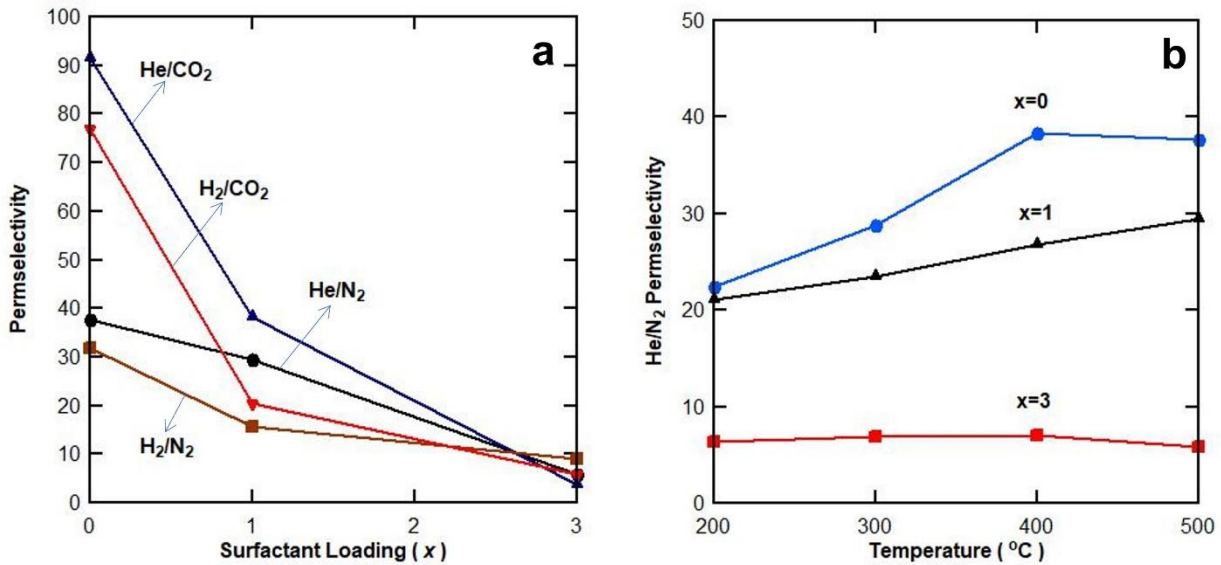
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261 Fig. 6. Apparent energy of activation (E_{act}) for H₂ and N₂

262

263 Fig. 7a displays the permselectivity of several gas pairs at 500 °C. The permselectivity was
 264 determined from the ratio of the permeance of each gas pair. It is interesting to observe that membrane
 265 $x=0$ showed higher He/CO₂ (91.5) and H₂/CO₂ (77.0) as compared to He/N₂ (37.7) and H₂/N₂ (31.8).
 266 Considering that the kinetic diameter of CO₂ ($d_k=3.3$ Å) is smaller than that N₂ ($d_k=3.64$ Å), the
 267 permselectivity should be higher for gas pairs containing N₂ instead of CO₂ for molecular sieve
 268 membranes. Nevertheless, this is attributed to the adsorption effect in ultramicroporous silica
 269 membranes such as membrane $x=0$. The isosteric heat of adsorption Q_{st} in CoSi membranes for CO₂
 270 ($Q_{st} = 22.7$ kJ mol⁻¹) [29] is higher than N₂ ($Q_{st} = 5$ kJ mol⁻¹) [50]. Q_{st} represents an energy barrier

271 and higher values leads to the reduction of permeance as a function of temperature as observed in
 272 Fig. 5 for membrane $x=0$. As the pore sizes increase, adsorption becomes less prevalent and
 273 permselectivity decreases as observed for membrane $x=1$. In the case of membrane $x=3$, the
 274 permselectivity for the gas pairs converged to similar values, confirming the enlargement of pore
 275 sizes as observed in the positron PSD (Fig. 3).



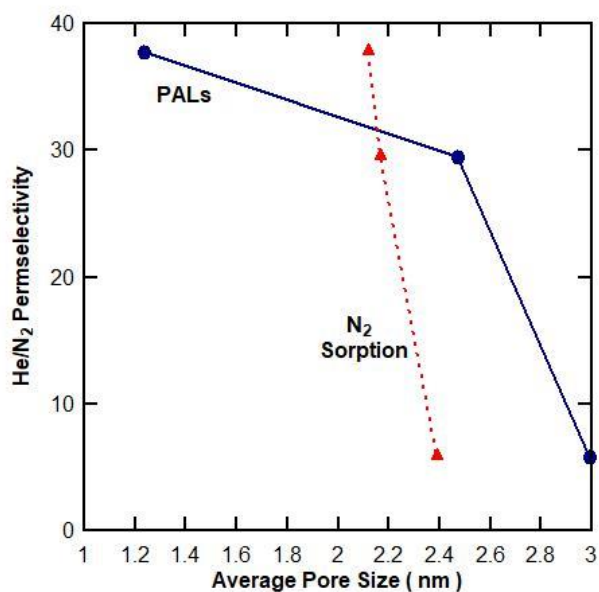
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277 Fig. 7. (a) Permeability of gas pairs at 500 °C as a function of membrane surfactant loading, and
 278 (b) He/N₂ permeability for all membranes as a function of temperature.

279 Fig. 7b shows the He/N₂ permeability for all membranes as a function of temperature. Helium is a
 280 non-adsorbable gas [55] under the testing conditions in this work. The increase in He/N₂ as a function
 281 of temperature reflects the effect of N₂ adsorption for membranes $x=0$ and 1 . The He/N₂
 282 permeability is low for $x=3$, around 6.5 and remained almost constant. As the ideal Knudsen
 283 selectivity of He/N₂ is 2.6, the low permeability strongly suggest that the transport phenomena
 284 behaviour changed from molecular sieving for SCoSi ($x=0$ and 1), to Knudsen transport for SCoSi
 285 ($x=3$). This is in line with the pore size broadening, where silica apertures control the passage of

286 gases. This result correlates well with the positron PSD (Fig. 3) for membrane $x=3$, evidenced by the
287 contribution of mesopores in the CoSi thin films.

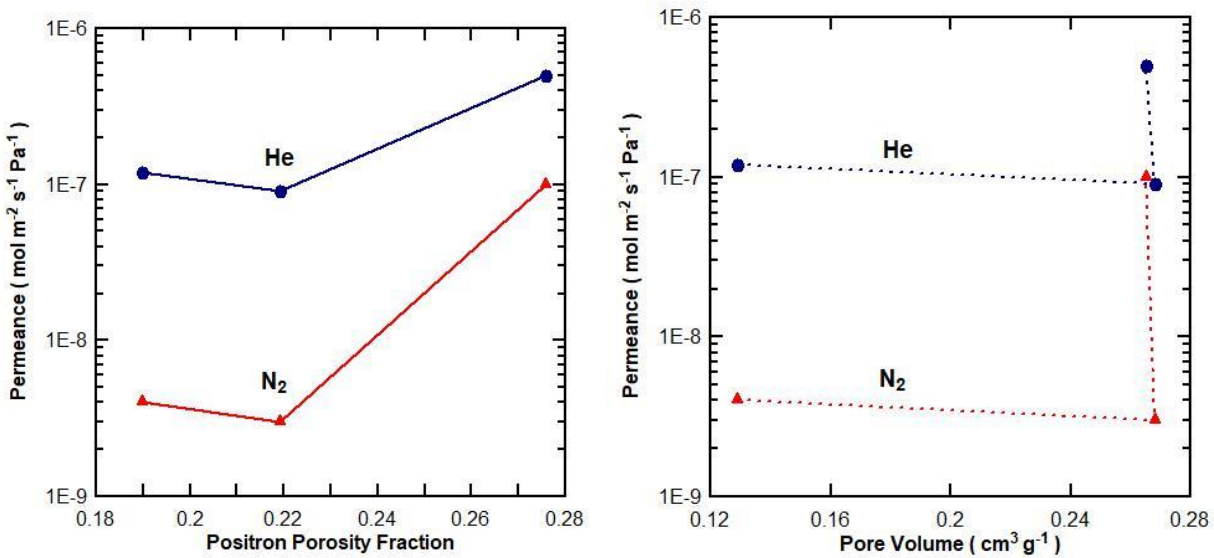
288 To understand further the morphological features of the membranes in this work, the average pore
289 sizes calculated from PALS SCoSi thin film measurements (Fig. 3) are compared against those
290 obtained for homologue xerogels from conventional N_2 sorption. Fig. 8 shows the He/N_2 at $500\text{ }^\circ\text{C}$
291 plotted as function of pore sizes for PALS and N_2 sorption. It is well known that the pore size in
292 microporous membranes control the separation of gases of different kinetic diameters. This is true for
293 both measurements as He/N_2 permselectivities decrease as pore sizes increase. However, it is observed
294 in Fig. 8 that pore sizes obtained from N_2 sorption display small variations from 2.1 to 2.4 nm as the
295 surfactant load was raised from $x=0$ to 3, respectively. PALS measurements showed a better
296 resolution as pore sizes varied from 1.2 to 3 nm in the same range of surfactant loading.



297

298 Fig. 8. He/N_2 permeance at $500\text{ }^\circ\text{C}$ as a function of average pores based on PALS (blue circles-solid
299 line) for membranes calcined at $630\text{ }^\circ\text{C}$ and N_2 sorption for xerogels calcined at $600\text{ }^\circ\text{C}$ [32] (red
300 triangles-dashed line). Lines are provided to better identify the two data series.

301 Morphological differences stemming from PALS and N₂ sorption measurements are observed further
 302 in Fig. 9. In principle, if pore fraction or pore volume increases, then the trend is for permeation to
 303 increase too as there is less resistance for gas transport through the membrane. Indeed, this is the case
 304 for the PALS measurements though the initial point is slightly scattered but within the permeation
 305 experimental error of 8%. In the case of pore volumes from N₂ sorption, the permeation results buck
 306 this trend in this work. Both He and N₂ permeance have similar pore volumes for the surfactant sols
 307 x=1 and 3, but the differences in permeance results are 5.5 and 33 times, respectively.



308
 309 Fig. 9. He and N₂ permeance at 500 °C as a function of (a) positron porosity fraction determined from
 310 PALS for membranes calcined at 630 °C and (b) pore volumes determined from N₂ sorption isotherms
 311 for xerogels calcined at 600 °C [32]. Lines are provided to better identify the two data series.

312 Figs. 8 and 9 clearly show that PALS measurements provide better precision for thin films based on
 313 permeation properties. Although the underlying physics and chemistry that govern silica growth and
 314 gelation are essentially the same for films as bulk gels, other factors influence structural evolution in
 315 films [56]. For instance, the properties of a deposited thin film may be quite different due to non-
 316 equivalent gelation and drying conditions [57, 58]. The PALS results in this work clearly show that
 317 the morphological features of silica derived thin films and xerogels are different, thus addressing

318 these important and valid points raised by Brinker and co-workers [56, 57] and Meixner and Dyer
319 [58] over two decades ago.

320 **4 Conclusions**

321 PALS characterisation of surfactant loaded CoSi thin films showed a higher contribution of micropore
322 formation on the top layer, to a depth of ~12 nm. The PSD tended to show an increased fraction of
323 micropores and mesopores at higher penetration depths (i.e., closer to the porous alumina interlayer),
324 suggesting the substrate porosity affected the pore formation in the adjacent membrane layers. Gas
325 permeation testing confirmed that the incorporation of a cationic surfactant as a secondary dopant
326 component on cobalt containing silica altered separation performance. High loads of surfactant
327 increased gas permeance resulting in reduced selectivities, a demonstration of pore size increase. The
328 permeation and selectivity results are consistent with the PALS measurements where the porosity
329 fraction and pore sizes also increased with the surfactant load of the prepared membranes. PALS
330 measurement of thin films structural features is powerful characterisation tool that showed strong
331 correlations the transport properties of the surfactant CoSi membranes.

332 **Acknowledgment**

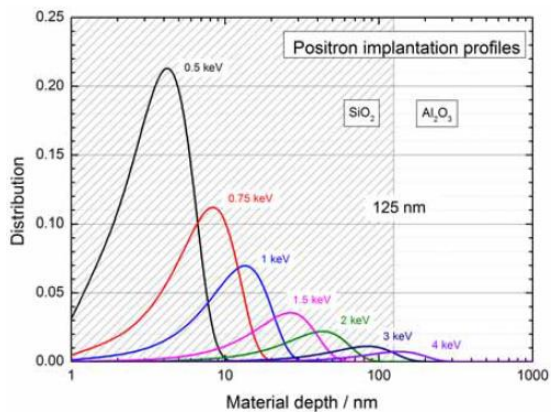
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341 **Competing interests**

342 The authors declare no competing interests.

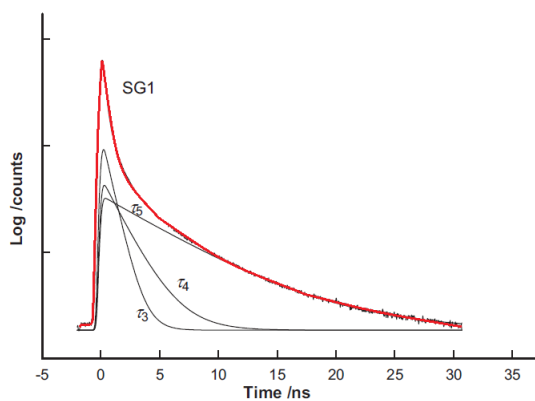
343

344 **Appendix**



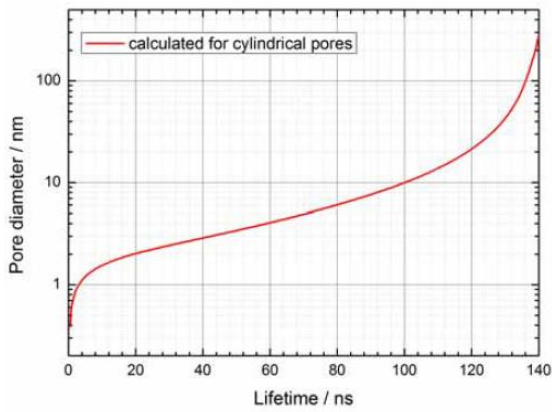
345

346 Fig. A1. Implantation depth profile versus positron energy for the case of SiO₂ on Al₂O₃ [42].



347

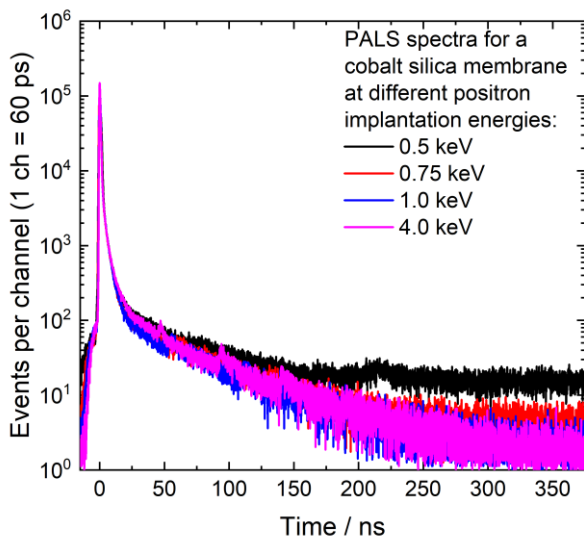
348 Fig. A2. Deconvolution of the positron annihilation lifetime spectrum of a surfactant functionalised
349 cobalt silica membrane ($x=1$) [49].



350 Calculation from positron lifetime to pore diameter

351 Fig. A3. Plot for calculation of pore diameter based on positron lifetime according to a shape-free
 352 model, based on the extended Tao Eldrup model [43].

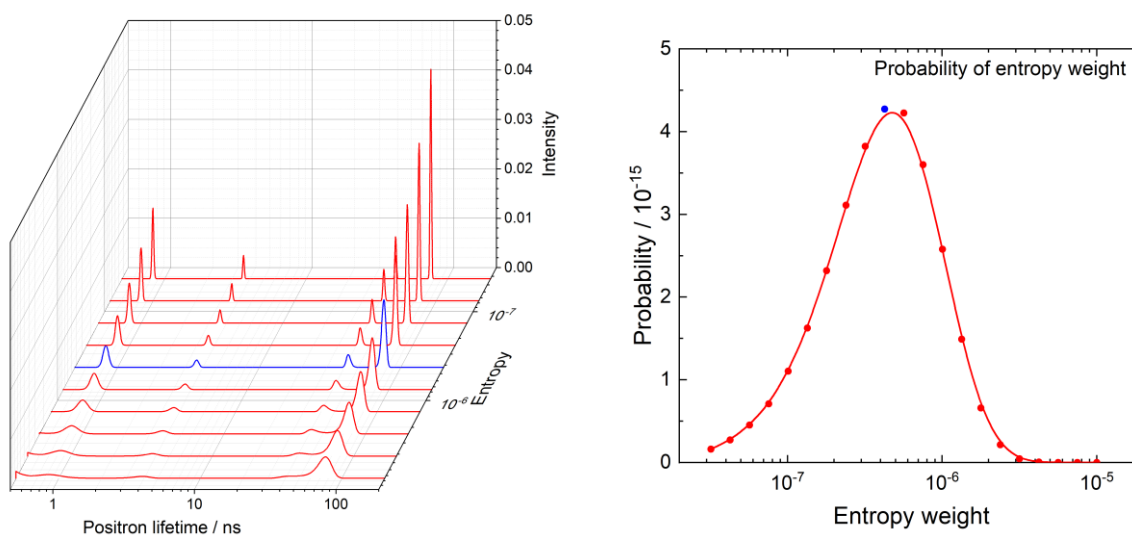
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354
 355 Fig. A4. Exemplary Positron Annihilation Lifetime spectra of a surfactant functionalised cobalt silica
 356 membrane ($x=1$) for different positron implantation energies.

357 Within the maximum entropy method, the entropy weight parameter a controls the competition
 358 between entropy solution and data constraints [48]. For $a = 0$, the solution is the pure least squares
 359 fitting result obtained by the standard PALS analysis using a multi-exponential decay approach. By

360 varying a , a series of solutions with different probabilities is obtained where usually the solution with
361 the largest probability is chosen (Fig. A5).



362

363 Fig. A5. Exemplary MELT analysis of a surfactant functionalised cobalt silica membrane recorded
364 at positron energy of 6 keV (mean implantation depth 320 nm) with solutions for different entropies
365 (left) and the probability for each entropy weight (right). The blue curve (left) and dot (right) are the
366 chosen solutions with the maximum entropy.

367

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Surfactant functionalized cobalt silica membranes – gas permeation and thin film positron annihilation lifetime spectroscopy characterisation

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Author Statement

G. Olguin: conceptualisation, investigation, validation, analysis, writing, review & editing.

C. Yacou: membrane analysis & supervision.

J. Motuzas: SEM investigation & analysis.

M. Butterling: PALS investigation, validation, analysis, writing, review & editing.

W.A. Meulenber: conceptualisation, analysis, supervision & funding acquisition.

S. Smart: conceptualisation, supervision, analysis, writing, review & editing & funding acquisition.

J.C. Diniz da Costa: conceptualisation, supervision, analysis, writing, review & editing, project administration & funding acquisition.

1 **Surfactant functionalized cobalt silica membranes – gas permeation and thin film positron**
2 **annihilation lifetime spectroscopy characterisation**

3
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18
19 **Abstract**

20 This work investigates the use of positron annihilation lifetime spectroscopy (PALS) for the in-situ
21 structural characterisation of silica derived thin film membranes. By using a quantified maximum
22 entropy method, PALS allowed for the measurement of porous volumetric fraction and a pore size
23 distribution depth profile. PALS measurements were carried out on a series of silica derived
24 membranes where alumina supports were coated with four layers of cationic HTBA surfactant cobalt
25 silica sols wherein the surfactant / cobalt molar ratio loading varied from 0 to 3. PALS results showed

26 that the coated layers adjacent to the porous alumina substrate were characterised by micropores and
27 broad mesopores, a clear indication that the porosity of the substrate affected the pore size at the
28 substrate and thin film interface. The last coated layer resulted in narrow micropores with a trimodal
29 pore size distribution of 0.5-0.6 nm, ~1nm and ~3nm. This was attributed to the surface smoothness
30 conferred by three previous coated layers. The increase in the surfactant loading led to an increase in
31 the pore fraction of thin films as ascertained by PALS. These results correlated quite well with the
32 gas permeation and selectivity results. Higher surfactant loadings resulted in an increase in gas
33 permeation and reduction of He/CO₂ selectivity from 91.5 to 3.8. PALS proved to be a powerful tool
34 characterisation of the structural features of microporous thin films.

35 **Keywords:** silica membranes; surfactant; pore size; thin film; gas permeation.

36 **1. Introduction**

37 Gas separation is a major chemical engineering process, important to a wide variety of industries,
38 where removal of undesirable species or concentration of products is desirable. There are many
39 processes used for gas separation such as (i) cryogenics involving very low temperatures and
40 changing phase from gas to liquid [1, 2]; (ii) adsorption processes requiring gas cooling to room
41 temperatures to take advantage of sorbents sorption capacities at low temperatures [3, 4]; (iii)
42 absorption processes where gases react with solvents thus requiring a downstream desorbing
43 processing [5, 6]; and (iv) membranes which can separate gases without phase change [7]. As many
44 industrial gases are generated at high temperatures and high pressures the use of inorganic
45 membranes, which are chemically and thermally stable, is attractive [8]; particularly palladium alloys
46 [9] and molecular sieving silica [10-12]. Of particular attention cobalt oxide silica membranes have
47 been scaled up with proven performance for 2000 hours operation and reaching very high H₂/CO₂
48 permselectivities of 500 [13].

49 Metal oxide silica membranes are synthesised from a silica sol-gel method using hydrated metal
50 nitrates which are oxidised during synthesis. Embedding cobalt oxide into silica films conferred
51 functionalities otherwise not available in pure silica membranes, such as improvements for wet gas
52 separation [14-16], although the mechanism of protection was not clear. More recently, work by Liu
53 and co-workers [17, 18] reported that the Co^{3+} coordination with Si increased hydro-stability, whilst
54 hydrolytic attack was severe on membranes containing a higher concentration of Co^{2+} coordinated
55 with Si. The literature contains a multitude of metal oxides incorporated into silica thin films from
56 the initial examples of oxides of nickel [19, 20], to aluminium [21], zirconium [22], titanium [23],
57 niobium [24], and more recently binary oxides of cobalt with iron [25], palladium [26] and lanthanum
58 [27].

59 Different oxides also confer different functionalities to the resultant membranes. For instance,
60 niobium oxide silica membranes provided relatively good N_2/CO_2 permselectivity of ~ 8 [28], higher
61 than any previous silica membranes of values below 2-3. In the case of adding lanthanum to a CoSi
62 membrane, it allowed the formation of silicates which enhanced the thermal stability of the pore sizes
63 below 3\AA under reduction and oxidation cycles [26]. In another case, Ji et al. [29] demonstrated that
64 pore connectivity of CoSi membranes increased as the membranes were reduced using a H_2 rich
65 atmosphere at high temperatures. This was attributed to the effect of the gas to solid reaction of H_2 to
66 the cobalt oxide particle (Co_3O_4) which was reduced to CoO. During reduction, cobalt oxide particles
67 breaks into small particles, known as the crackling core model [30, 31], and formed additional
68 permeation channels.

69 In the pursuit of additional functionalities, Olguin and co-workers [32] reported that the oxidation
70 state of cobalt oxide could be tailored by the halide functionality of surfactants in silica gels. Whilst
71 this work focused on xerogels, surfactants and carbon templates have been previously used for the
72 preparation of silica membranes. For instance, Verweij and co-workers [33] carbonised a ligand

73 methyl group to silica precursors which improved the hydro-stability of the silica membranes. Duke
74 et al. [34] carbonised surfactants embedded in silica xerogels and showed that the carbon moieties
75 were like barriers opposing the movement of unstrained silica groups, thus avoiding densification
76 under hydrothermal conditions. In a further work, Olguin et al. [35] showed that the length of the
77 alkyl group and the surfactant concentration influenced the porous structural formation of cobalt
78 oxide silica xerogels.

79 The published works on the effect of surfactants on CoSi has been limited to xerogel studies, whilst
80 membranes have yet to be fully reported. This is an important point as the chemical and physical
81 principles that control the silica structural formation are, in theory, the same for both bulk xerogels
82 and thin films. However, the latter undergoes fast gelation and evaporation of solvents (i.e. water),
83 and the final structure of surfactant functionalised CoSi thin films may differ from that of bulk
84 xerogel. A characterisation technique to study thin films in membranes is positron annihilation
85 spectroscopy (PALS) that has been reported for polymeric membranes [36-38], and crystalline
86 inorganic (i.e., zeolite) membrane [39]. In the case of amorphous silica membranes, publications on
87 in situ characterisation of silica thin films supported by substrates is even more limited as in principle
88 the silica sol-gel solution slightly penetrates into the pores of interlayer due to capillary forces during
89 film coating.

90 Therefore, this work investigates two important aspects of silica derived membranes. The first
91 investigation focuses on determining the pore structure of supported thin films using PALS. The
92 second investigation studies the performance of surfactant functionalised CoSi membranes for gas
93 separation. Hexyl trimethyl ammonium bromide (HTAB) was chosen as the functional surfactant in
94 view of its effect in the oxidation state of CoSi xerogels [35]. A series of membranes were prepared
95 via a sol-gel synthesis method, where the concentration of HTAB was varied. Single gas permeation
96 of He, H₂, N₂ and CO₂ was performed for each of the prepared membranes at different testing

97 temperatures in the range 200-500 °C. This work is particularly interested in understanding how the
98 surfactant functionalities on CoSi membranes in terms of porosity and pore sizes as determined by
99 PALS can affect gas transport phenomena and membrane performance.

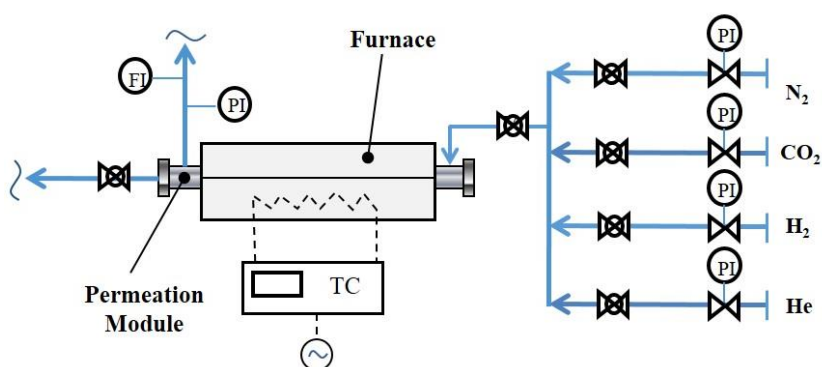
100 **2. Experimental**

101 Cobalt silica (CoSi) coating solutions were synthesised via a sol-gel method. Cobalt nitrate
102 hexahydrate ($\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$) was dissolved in 30 % vol hydrogen peroxide (H_2O_2) in order to keep
103 the pH stable around 3.0 and then diluted in an excess of ethanol. Subsequently, the solution was
104 cooled to 0 °C, followed by a slow drop-wise addition of tetraethyl orthosilicate (TEOS). The final
105 TEOS : H_2O : H_2O_2 : EtOH : $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ molar ratios was 4 : 45.5 : 9 : 256 : 1, which was
106 moderately stirred in an ice-bath at 0 °C for three hours. The preparation of surfactant cobalt silica
107 followed the same procedure, except for the addition of hexyl trimethyl ammonium bromide (HTAB)
108 after the ice-bath treatment. Surfactant concentration was varied based on the surfactant/cobalt molar
109 ratio (x) 0 to 3 and the resultant sols were named as CoSi-S(x). The HTAB concentration variation
110 aimed at varying the pore size and pore volume of the resultant CoSi membranes based on homologue
111 xerogels published elsewhere [32, 35]. The solubility of surfactant was achieved by keeping the
112 concentration below the critical micelle concentration (CMC) point, taking into account the surfactant
113 type [40], solvent nature [41, 42] and counter ion presence [43]. The HTAB concentration in our
114 surfactant-cobalt silica sol was varied from 0 ($x=0$) to 180 mM ($x=3$) below the CMC of 2000 mM.

115 Membranes were prepared by dip coating four active silica layers on outer shell of a commercial
116 alumina substrate (Energy Research Centre of the Netherlands). The dimensions of the tubes were 14
117 mm external diameter with 2 mm thick wall, a length of 200 mm. The tubes contained an asymmetric
118 structure, with α -alumina substrate followed by small α -alumina particle interlayers, and thin γ -
119 alumina interlayers with 4nm pore sizes. A conventional dip coater was used to coat silica layers
120 through a constant immersion and withdrawal speed of 10 cm min⁻¹ and dwell time of 1 min. Each

121 silica layer was subsequently calcined in an electric furnace at 630 °C under air atmosphere, a ramping
122 rate of 1 °C min⁻¹ and a dwell time of 2.5 hr. Under these calcination temperatures, the HTBA is fully
123 burnt off [32], resulting in the formation of a surfactant free CoSi membranes.

124 The performance of each membrane was assessed by single gas permeance of He, H₂, N₂ and CO₂ at
125 four different operation temperatures (200, 300, 400 and 500 °C) in a custom permeation rig (see Fig.
126 1). The permeate stream was kept at atmospheric pressure while feed pressure was set constant at 400
127 kPa. A series of leaking tests were run each time before membrane testing, to ensure that any
128 problems associated with seal failure or membrane fractures were not present. The leaking tests
129 involved checking gas leaks in valves and connections in the gas permeation rig and membrane
130 module. The membrane was sealed using graphite seals developed by Yacou and co-workers [13],
131 that allows gas permeation testing at high temperatures without sheering ceramic tubes. The
132 membranes with high gas permselectivities were considered gas leak free and defect free.



133

134 Fig. 1 - Single gas permeation rig: pressure regulator (PI), flow rotameter (FI), temperature
135 controller (TC).

136 Positron annihilation lifetime spectroscopy (PALS) experimental set up required a flat and
137 homogeneous surface for thin film analysis since the probing positron beam has a diameter of around
138 5 mm. Therefore, PALS was carried on flat alumina supports made of α -alumina substrate and a thin
139 layer of γ -alumina (supplied by Pervatech) with 80 nm pore size. Each support was dip coated with

140 4 active silica layers on a custom coater, taking into consideration similar parameters to the tubular
141 membranes. The calcination procedure for each layer was the same as for the tube membranes.
142 Samples of the latter were analysed by a field emission scanning electron microscope (JEOL Model
143 JSM-7001F) to observe their morphological features. The analysis was performed using a 5kV
144 acceleration voltage and a distance of 10 mm.

145 The PALS experiments were performed at the mono-energetic positron source (MePS) beamline,
146 which is one of the end stations of the radiation source ELBE (Electron Linac for beams with high
147 Brilliance and low Emittance) at HZDR (Germany) [44, 45], using positrons produced from high-
148 energy bremsstrahlung of the 30 MeV electron source ELBE. The PALS spectra were recorded using
149 a fast CeBr₃ scintillator detector coupled to a Hamamatsu R13089-100 PMT. After implantation into
150 a solid, positrons lose their kinetic energy due to thermalization and, after a short period of diffusion,
151 annihilate in delocalized lattice sites or localize in vacancy-like defects and pores, emitting usually
152 two anti-collinear 511 keV gamma photons after annihilation with the encountered electrons. The
153 implantation profile can be calculated via a Makhovian distribution [46] (see Appendix Fig. A1). A
154 mean positron implantation depth can be approximated by a simple material density-dependent,
155 Makhovian positron stopping profile formula [42]: $\langle z \rangle = 36/\rho \cdot E_p^{1.62}$, with the material density, ρ and
156 positron implantation energy, E_p . Positron implantation energy was varied from 0.5 to 12 keV
157 providing an approximated depth profile between 0 and 100 nm.

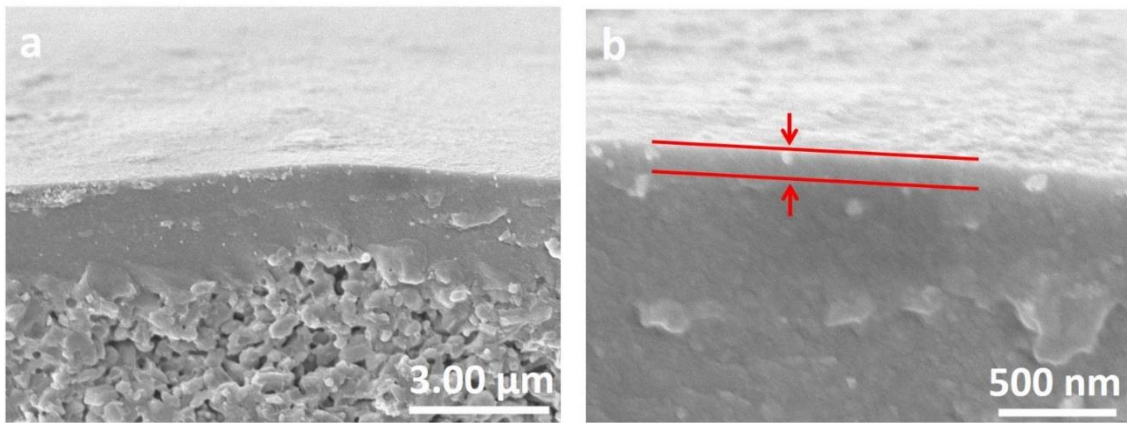
158 Positron lifetime components were obtained through a quantified maximum entropy method. The
159 porous volumetric fraction of surfactant functionalised silica layers was calculated averaging the
160 shortest lifetime component (related with annihilation within material) along with silica thickness.
161 The pore size distribution (PSD) depth profile is based on the positron energy which changes with
162 the implantation depth (see Appendix Fig. A1), and allows the pore size of the sub-layers to be
163 analysed and determined. The collected data are known as PALS lifetime distribution, given by the

164 annihilation versus lifetime value for each implantation depth. The PALS lifetime distribution
165 represents multiple cavities sizes in the surfactant functionalised silica membrane, thus requiring
166 deconvolution to determine the pore size of the cavities (see Appendix Fig. A2). Deconvolution is
167 carried out using non-standard gaussian peaks to get lifetime components ($\tau_5, \tau_4, \tau_3 \dots$) which can be
168 translated into pore sizes according to a simple shape-free model based on the extended Tao-Eldrup
169 model for pore size determination from PALS [47] (see Appendix Fig. A3). For each spectrum 3×10^6
170 counts were recorded at a rate of 3600 counts per second, for positron implantation energies from 0.5
171 keV to 12 keV (Appendix Fig. A4). Positron lifetime components were then obtained based on the
172 maximum entropy method using the MELT (maximum entropy lifetime analysis) software package
173 [48]. In contrast to a discrete lifetime analysis using multi-exponential decay functions, a MELT
174 analysis allows to extract pore size distributions rather than only discrete pore sizes as detailed in the
175 Appendix.

176 **3. Results**

177 **3.1 Characterisation**

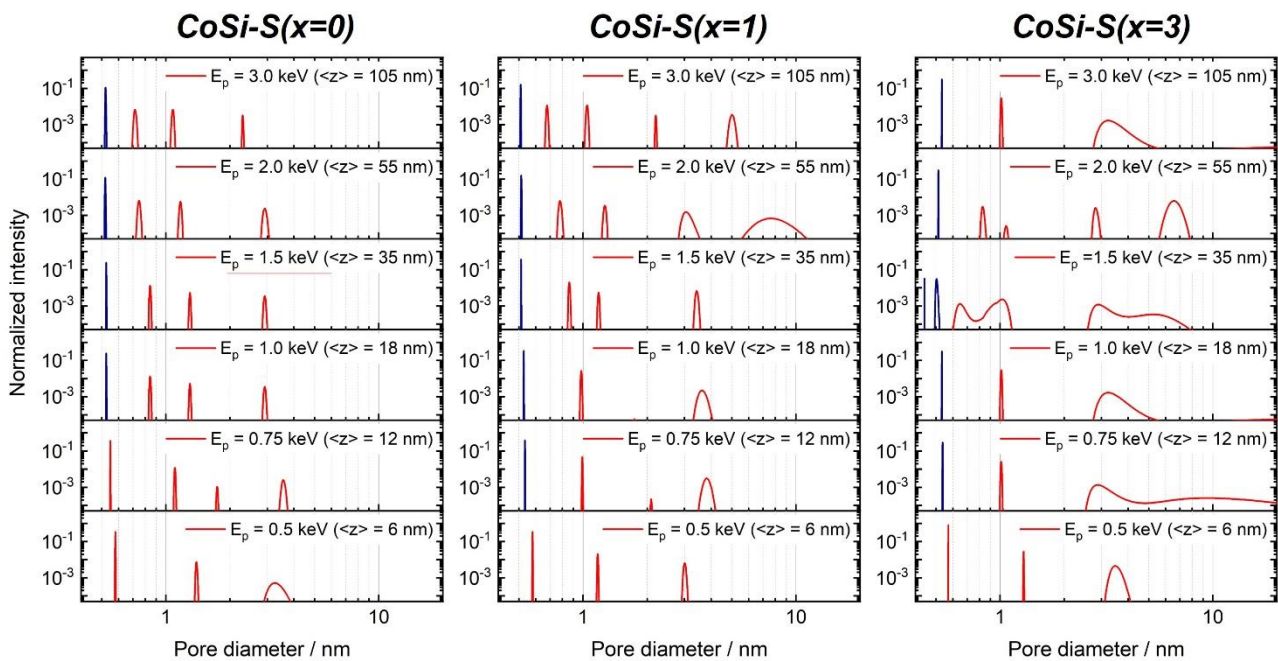
178 Representative SEM images of the as-prepared membrane are depicted in Fig. 2. The cross-section is
179 composed of a surfactant functionalised cobalt silica top layer coated on a $\gamma\text{-Al}_2\text{O}_3$ interlayer. The
180 latter was coated on a macroporous $\alpha\text{-Al}_2\text{O}_3$ substrate characterised by a coarse surface containing
181 large particles. The interlayer is required to reduce the roughness of the substrate surface to enable
182 coating of the top layer. The top layer has a homogeneous surface coverage in all directions with a
183 thickness of ~ 120 nm. A total of four surfactant cobalt layers were coated on the substrate, suggesting
184 that each coated layer resulted in a thickness of ~ 30 nm upon calcination.



185

186 Fig. 2. Representative SEM image of surfactant functionalised cobalt silica membrane.

187



188

189 Fig. 3. Positron pore size distribution for different positron implantation energies E_p for a surfactant
 190 functionalised CoSi membranes. The mean implantation depths $\langle z \rangle$ are calculated from the
 191 Makhovian stopping profiles using $\langle z \rangle = 36/\rho \cdot E_p^{1.62}$. Usually, positrons are implanted in a much
 192 wider depth range (see appendix Fig. A1), therefore the given values are just a rough estimation. The
 193 blue narrow peak around 0.5 nm is not a signal from annihilation in pores but from free positrons
 194 annihilating in the matrix material. Although this lifetime component is not related to a pore, the peak
 195 is shown here in the figure for comparing free positron annihilation and annihilation in pores.

196

197 Fig. 3 displays PSD profiles along the depth of the surfactant functionalised cobalt silica membranes.
198 The PSD profile shows a relative abundance of pore sizes at each depth, grouped as micropores ($d_p <$
199 2 nm) and mesopores ($2 \leq d_p \leq 50$ nm). The presence of a tri-modal PSD at depths up to 18 nm, are
200 in line with the PALS work pioneered by Duke et al. [49] for silica powders. Importantly though, the
201 top portion of fourth and final coated layer (from 0 to ~ 30 nm) for membranes $x=0$ and $x=1$ showed
202 high intensity peaks at $d_p < 2$ nm as compared to larger implantation depths, reflecting a shift to
203 micropore PSD. This is attributed to the surface smoothness of the previous coated and calcined
204 surfactant functionalised silica layers. It strongly indicates that four layers were sufficient to produce
205 a defect free thin film. The membrane $x=3$ exhibited a higher contribution of peaks at $d_p > 2$ nm, a
206 clear indication of the formation of mesopores. This is attributed to higher concentration of HTAB
207 that are burnt off upon calcination, contributing to pore size enlargement.

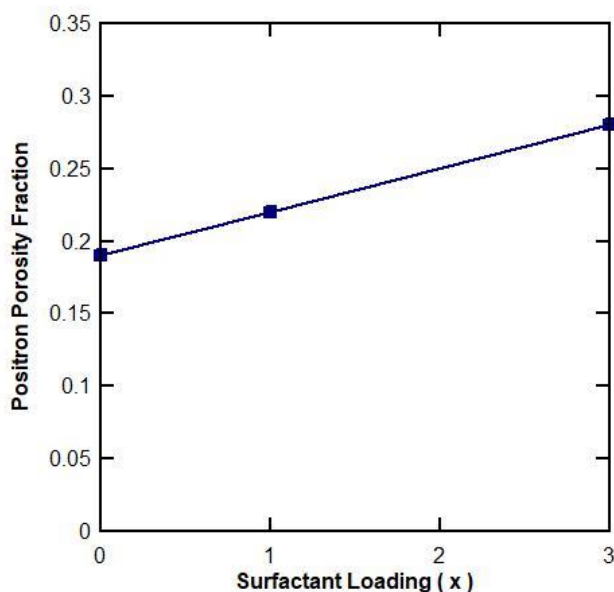
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209 Beyond a film depth of >35 nm (for the 2nd and 3rd coated layers to be precise) the intensity of the
210 peaks associated with mesopores broadens for membranes $x=0$ and $x=1$, confirming the incorporation
211 of more mesopores into the CoSi thin film layers. The increase in the contribution of mesopores may
212 be associated with morphological effects which stem from the underlying larger pores in the substrate.
213 However, the drying and calcination process is clearly complex as the first coated layer at a PALS
214 depth of 105 nm actually showed a lower mesopore contribution than the 2nd and 3rd layers (at 55nm
215 and 35nm respectively). Similarly, the membrane $x=3$ also displayed a reduction of the contribution
216 of mesopores. In this case, the PSD may be associated with the intrusion of the surfactant cobalt silica
217 sol into the mesopores of the interlayer.

218

219 The determination of the PSD depth profile also allowed for the calculation of the positron porosity
220 fraction as displayed in Fig. 4. The porosity fraction is the fraction of all positrons annihilating in

221 micro- and mesopores. Remaining positrons are annihilating as free positrons (see blue peak in Fig.
222 3). Fig. 4 displays the pore values as PALS porosity fraction and pore volumes determined from
223 nitrogen sorption isotherms. It is observed that by increasing the surfactant ratio (x) used to prepare
224 the functionalised surfactant cobalt silica thin films, the positron porosity fraction likewise increased.
225 The surfactant molecules act as templates or free-volume spaces embedded into the cobalt silica
226 matrix. Upon calcination in air, the surfactant is fully burnt-out at 530 and 550 C [32] for membranes
227 $x=1$ and $x=3$, respectively. By raising the calcination temperature further, the porous cobalt silica thin
228 film densifies, and the positron porosity fraction was linear with respect to the surfactant ratio.



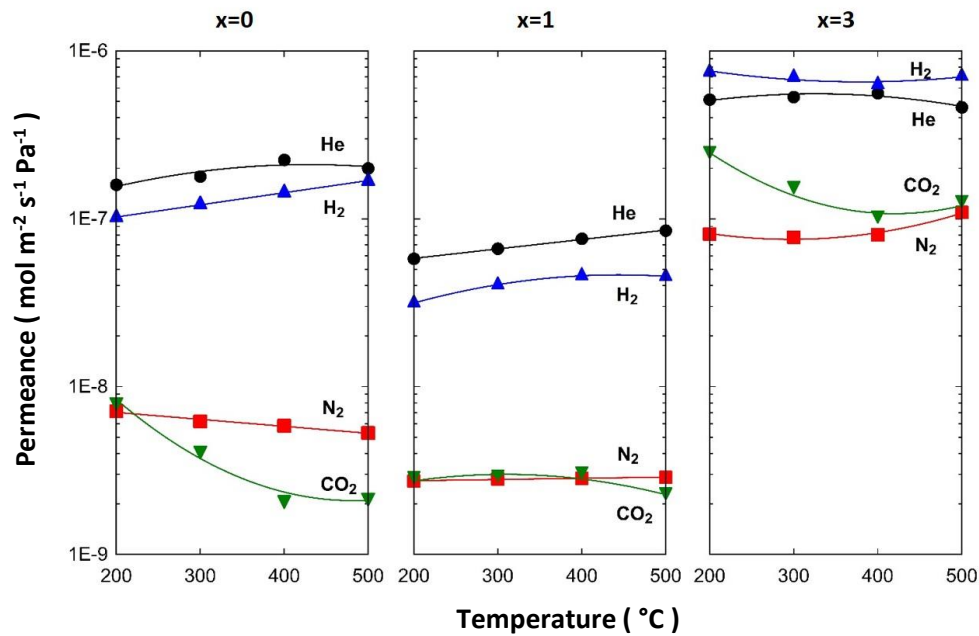
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230 Fig. 4. Positron porosity fraction as a function of the surfactant ratio (x) used to prepare the
231 functionalised surfactant cobalt silica membranes.

232 3.2 Gas permeation

233 Fig. 5 shows the single gas permeance of He, H₂, N₂ and CO₂ gases at various temperatures for three
234 tested membranes. The CoSi ($x=0$) membrane, with no surfactant loading, follows the traditional
235 temperature dependent gas transport as reported elsewhere for cobalt silica membranes [13, 50] and
236 silica membranes [51, 52] based on the transport of gases in microporous materials [53]. This
237 membrane is characterised by the permeance of the smaller gases, He ($d_k=2.6 \text{ \AA}$) and H₂ ($d_k=2.89 \text{ \AA}$),

238 increasing with temperature, whilst the permeance of the larger gases, CO₂ ($d_k=3.3 \text{ \AA}$) and N₂
 239 ($d_k=3.64 \text{ \AA}$), decreased with temperature. Similar behaviour was observed for the SCoSi ($x=1$),
 240 though the larger gases showed almost no decline in permeance as the testing temperature was raised.
 241 By adding more surfactant as SCoSi ($x=3$) membrane, the single gas transport behaviour changed.
 242 For instance, gas permeance in this $x=3$ membrane remains steady as a function of the temperature,
 243 within experimental error of $\pm 8\%$. Further, the single gas permeation curves for the smaller and larger
 244 gases are much closer, and not as distanced as for the lower surfactant loaded membranes ($x=0$ and
 245 1). The results in Fig. 5 strongly suggest that surfactant loading is conferring morphological changes
 246 to the final structure of the cobalt oxide silica membranes.

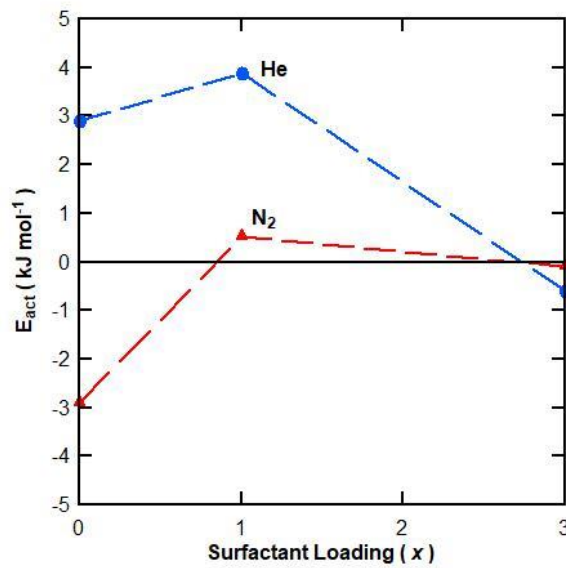


247

248 Fig. 5. Single gas permeance ($\pm 8\%$) as a function of temperature for membranes with varied
 249 surfactant loading (x).

250 The temperature dependent transport observed in Fig. 5 is also known as activated transport based on
 251 Barrer's model [54] for gas diffusion via microporous structures. Fig. 6 shows the apparent energy of
 252 activation (E_{act}) for H₂ and N₂ as a function of surfactant loading. The E_{act} was calculated from the
 253 Arrhenius plot of the permeance of for H₂ and N₂. In principle, E_{act} gives a good indication of pore

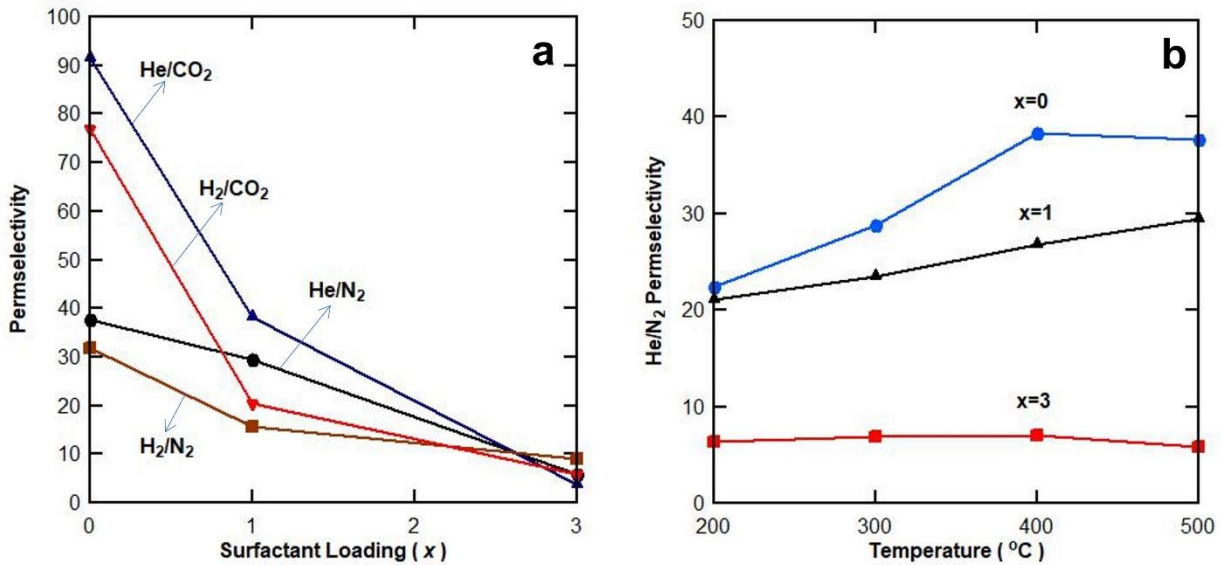
254 size changes provided that gas permeation follows activated transport. For instance, smaller
 255 micropores are characterised by higher He and lower N₂ E_{act} values. This is clearly observed for
 256 membranes $x=0$ and 1 . However, membranes $x=3$ shows that a convergence of both E_{act} values,
 257 given a clear indication of increased pore sizes. This shows a departure from activated transport to
 258 Knudsen diffusion, a characteristic of pore size change from micropore to mesopore. These results
 259 correlated well with the positron PSD in Fig. 3.



260
 261 Fig. 6. Apparent energy of activation (E_{act}) for H₂ and N₂

262
 263 Fig. 7a displays the permselectivity of several gas pairs at 500 °C. The permselectivity was
 264 determined from the ratio of the permeance of each gas pair. It is interesting to observe that membrane
 265 $x=0$ showed higher He/CO₂ (91.5) and H₂/CO₂ (77.0) as compared to He/N₂ (37.7) and H₂/N₂ (31.8).
 266 Considering that the kinetic diameter of CO₂ ($d_k=3.3$ Å) is smaller than that N₂ ($d_k=3.64$ Å), the
 267 permselectivity should be higher for gas pairs containing N₂ instead of CO₂ for molecular sieve
 268 membranes. Nevertheless, this is attributed to the adsorption effect in ultramicroporous silica
 269 membranes such as membrane $x=0$. The isosteric heat of adsorption Q_{st} in CoSi membranes for CO₂
 270 ($Q_{st} = 22.7$ kJ mol⁻¹) [29] is higher than N₂ ($Q_{st} = 5$ kJ mol⁻¹) [50]. Q_{st} represents an energy barrier

271 and higher values leads to the reduction of permeance as a function of temperature as observed in
 272 Fig. 5 for membrane $x=0$. As the pore sizes increase, adsorption becomes less prevalent and
 273 permselectivity decreases as observed for membrane $x=1$. In the case of membrane $x=3$, the
 274 permselectivity for the gas pairs converged to similar values, confirming the enlargement of pore
 275 sizes as observed in the positron PSD (Fig. 3).



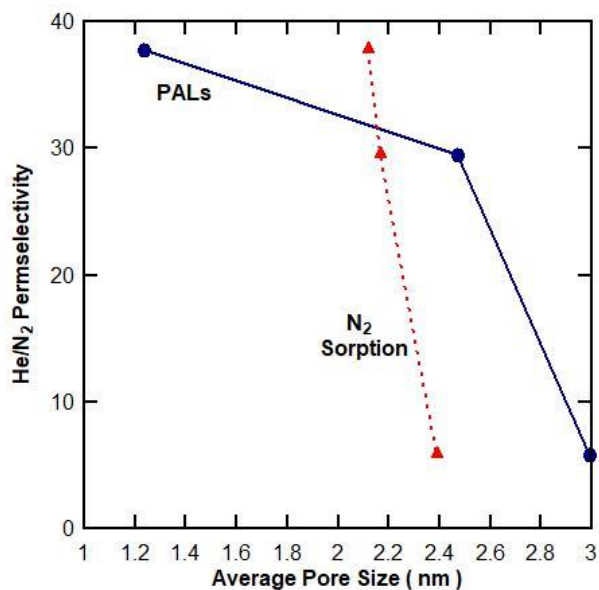
276

277 Fig. 7. (a) Permeability of gas pairs at 500 °C as a function of membrane surfactant loading, and
 278 (b) He/N₂ permeability for all membranes as a function of temperature.

279 Fig. 7b shows the He/N₂ permeability for all membranes as a function of temperature. Helium is a
 280 non-adsorbable gas [55] under the testing conditions in this work. The increase in He/N₂ as a function
 281 of temperature reflects the effect of N₂ adsorption for membranes $x=0$ and 1 . The He/N₂
 282 permeability is low for $x=3$, around 6.5 and remained almost constant. As the ideal Knudsen
 283 selectivity of He/N₂ is 2.6, the low permeability strongly suggest that the transport phenomena
 284 behaviour changed from molecular sieving for SCoSi ($x=0$ and 1), to Knudsen transport for SCoSi
 285 ($x=3$). This is in line with the pore size broadening, where silica apertures control the passage of

286 gases. This result correlates well with the positron PSD (Fig. 3) for membrane $x=3$, evidenced by the
287 contribution of mesopores in the CoSi thin films.

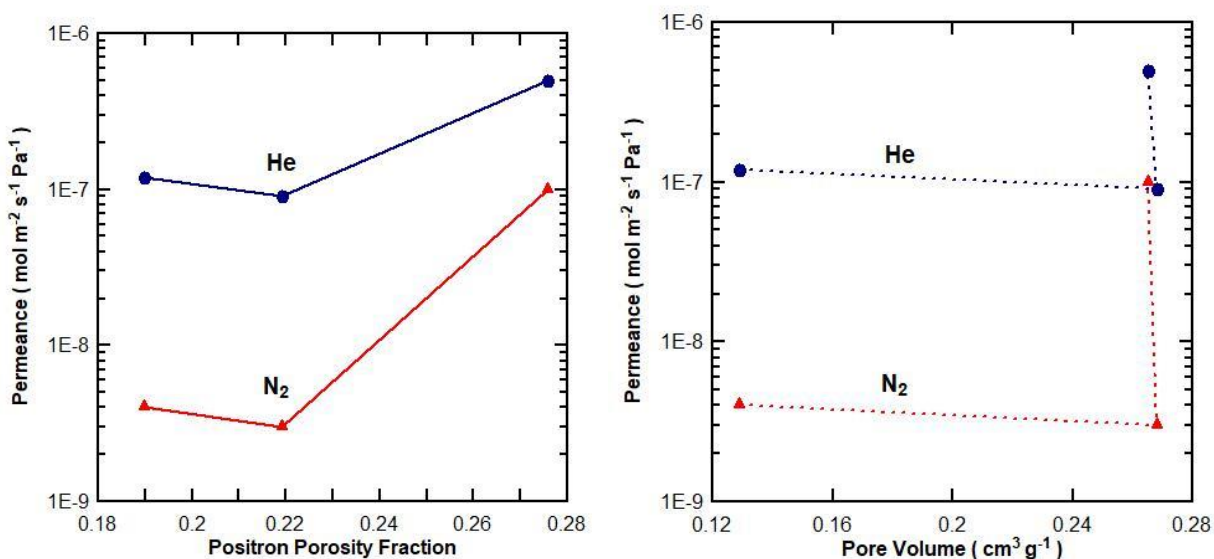
288 To understand further the morphological features of the membranes in this work, the average pore
289 sizes calculated from PALS SCoSi thin film measurements (Fig. 3) are compared against those
290 obtained for homologue xerogels from conventional N_2 sorption. Fig. 8 shows the He/N_2 at 500 °C
291 plotted as function of pore sizes for PALS and N_2 sorption. It is well known that the pore size in
292 microporous membranes control the separation of gases of different kinetic diameters. This is true for
293 both measurements as He/N_2 permselectivities decrease as pore sizes increase. However, it is observed
294 in Fig. 8 that pore sizes obtained from N_2 sorption display small variations from 2.1 to 2.4 nm as the
295 surfactant load was raised from $x=0$ to 3, respectively. PALS measurements showed a better
296 resolution as pore sizes varied from 1.2 to 3 nm in the same range of surfactant loading.



297

298 Fig. 8. He/N_2 permeance at 500 °C as a function of average pores based on PALS (blue circles-solid
299 line) for membranes calcined at 630 °C and N_2 sorption for xerogels calcined at 600 °C [32] (red
300 triangles-dashed line). Lines are provided to better identify the two data series.

301 Morphological differences stemming from PALS and N₂ sorption measurements are observed further
 302 in Fig. 9. In principle, if pore fraction or pore volume increases, then the trend is for permeation to
 303 increase too as there is less resistance for gas transport through the membrane. Indeed, this is the case
 304 for the PALS measurements though the initial point is slightly scattered but within the permeation
 305 experimental error of 8%. In the case of pore volumes from N₂ sorption, the permeation results buck
 306 this trend in this work. Both He and N₂ permeance have similar pore volumes for the surfactant sols
 307 x=1 and 3, but the differences in permeance results are 5.5 and 33 times, respectively.



308
 309 Fig. 9. He and N₂ permeance at 500 °C as a function of (a) positron porosity fraction determined from
 310 PALS for membranes calcined at 630 °C and (b) pore volumes determined from N₂ sorption isotherms
 311 for xerogels calcined at 600 °C [32]. Lines are provided to better identify the two data series.

312 Figs. 8 and 9 clearly show that PALS measurements provide better precision for thin films based on
 313 permeation properties. Although the underlying physics and chemistry that govern silica growth and
 314 gelation are essentially the same for films as bulk gels, other factors influence structural evolution in
 315 films [56]. For instance, the properties of a deposited thin film may be quite different due to non-
 316 equivalent gelation and drying conditions [57, 58]. The PALS results in this work clearly show that
 317 the morphological features of silica derived thin films and xerogels are different, thus addressing

318 these important and valid points raised by Brinker and co-workers [56, 57] and Meixner and Dyer
319 [58] over two decades ago.

320 **4 Conclusions**

321 PALS characterisation of surfactant loaded CoSi thin films showed a higher contribution of micropore
322 formation on the top layer, to a depth of ~12 nm. The PSD tended to show an increased fraction of
323 micropores and mesopores at higher penetration depths (i.e., closer to the porous alumina interlayer),
324 suggesting the substrate porosity affected the pore formation in the adjacent membrane layers. Gas
325 permeation testing confirmed that the incorporation of a cationic surfactant as a secondary dopant
326 component on cobalt containing silica altered separation performance. High loads of surfactant
327 increased gas permeance resulting in reduced selectivities, a demonstration of pore size increase. The
328 permeation and selectivity results are consistent with the PALS measurements where the porosity
329 fraction and pore sizes also increased with the surfactant load of the prepared membranes. PALS
330 measurement of thin films structural features is powerful characterisation tool that showed strong
331 correlations the transport properties of the surfactant CoSi membranes.

332 **Acknowledgment**

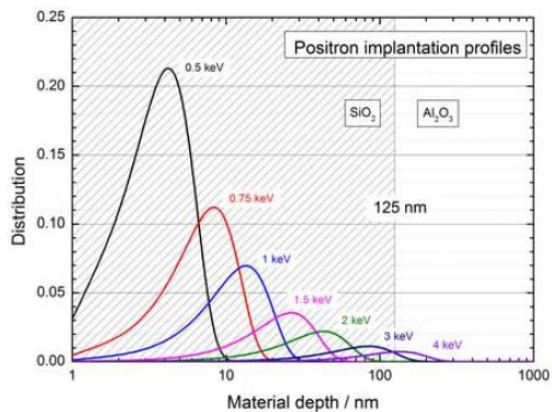
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341 **Competing interests**

342 The authors declare no competing interests.

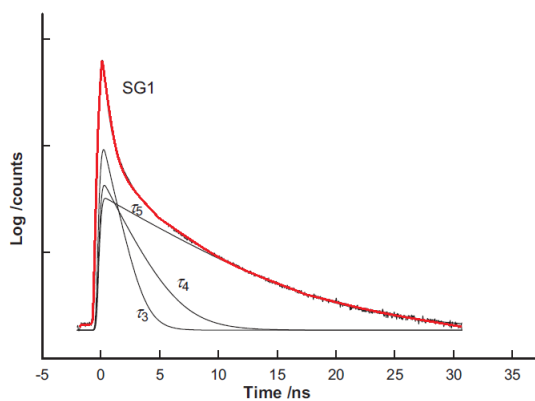
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344 **Appendix**



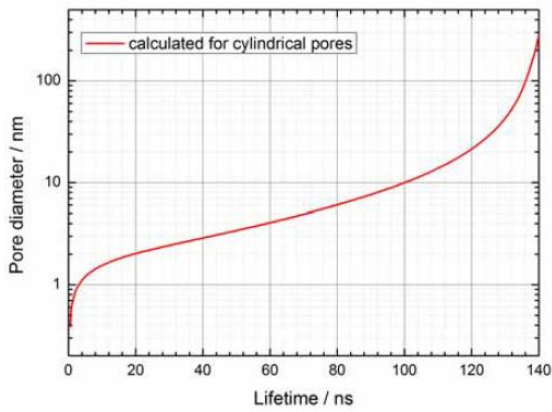
345

346 Fig. A1. Implantation depth profile versus positron energy for the case of SiO₂ on Al₂O₃ [42].



347

348 Fig. A2. Deconvolution of the positron annihilation lifetime spectrum of a surfactant functionalised
349 cobalt silica membrane ($x=1$) [49].



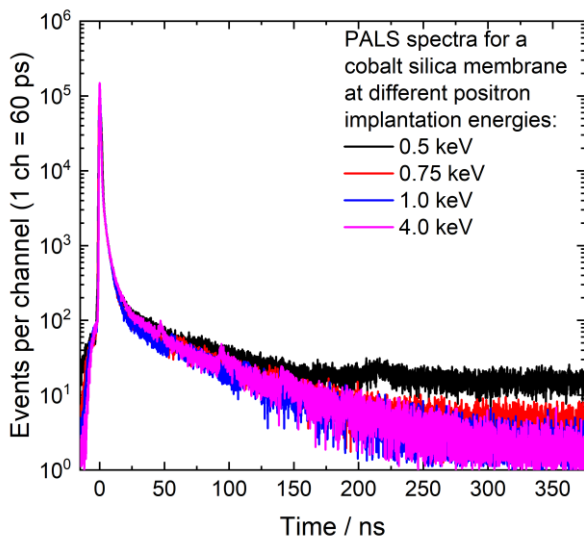
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Calculation from positron lifetime to pore diameter

351

Fig. A3. Plot for calculation of pore diameter based on positron lifetime according to a shape-free model, based on the extended Tao Eldrup model [43].

353

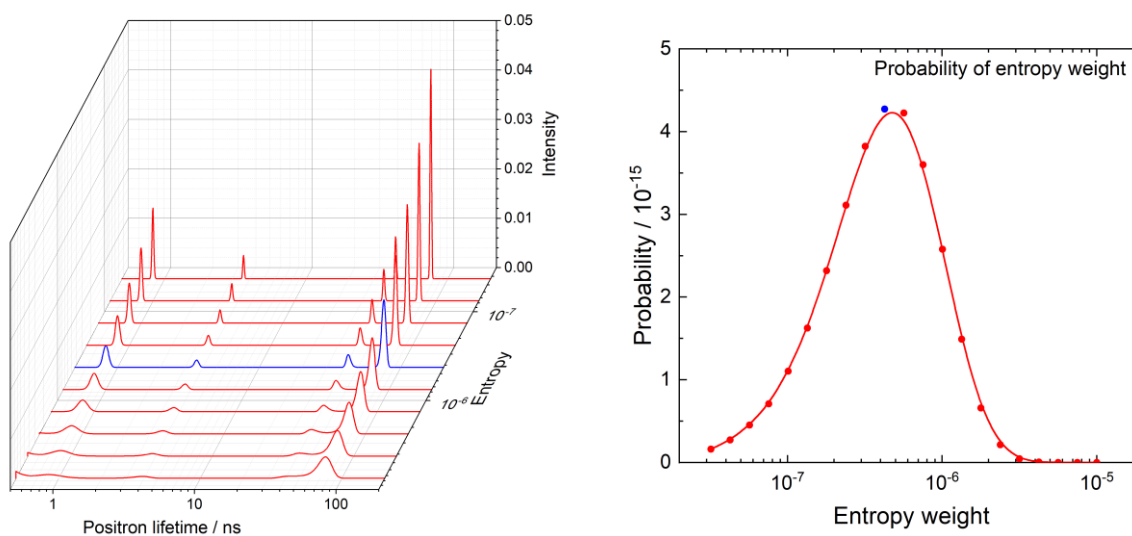


354

Fig. A4. Exemplary Positron Annihilation Lifetime spectra of a surfactant functionalised cobalt silica membrane ($x=1$) for different positron implantation energies.

357 Within the maximum entropy method, the entropy weight parameter a controls the competition
 358 between entropy solution and data constraints [48]. For $a = 0$, the solution is the pure least squares
 359 fitting result obtained by the standard PALS analysis using a multi-exponential decay approach. By

360 varying a , a series of solutions with different probabilities is obtained where usually the solution with
361 the largest probability is chosen (Fig. A5).



362

363 Fig. A5. Exemplary MELT analysis of a surfactant functionalised cobalt silica membrane recorded
364 at positron energy of 6 keV (mean implantation depth 320 nm) with solutions for different entropies
365 (left) and the probability for each entropy weight (right). The blue curve (left) and dot (right) are the
366 chosen solutions with the maximum entropy.

367

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